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FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In the Application of THUDIUM and SELBY

Serial No.: 09/977,066

Art Unit: unassigned

Filed: October 12, 2001

Examiner: unassigned

Title: CYTOMEGALOVIRUS INTRON A FRAGMENTS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
mm	AA-1	5,688,688	November 18, 1997	Luciw et al.			
mm	AB-1	5,739,018	April 14, 1998	Miyano-hara et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
	AC-1						

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
mm	AD-1	Akrigg et al., "The Structure of the Major Immediate Early Gene of Human Cytomegalovirus Strain AD169," <i>Virus Research</i> 2:107-121 (1985)
	AE-1	Buchman et al., "Comparison of Intron-Dependent and Intron-Independent Gene Expression," <i>Molec. Cell. Biol.</i> 8:4395-4405 (1988)
	AF-1	Chapman et al., "Effect of Intron A From Human Cytomegalovirus (Towne) Immediate-Early Gene on Heterologous Expression in Mammalian Cells," <i>Nucleic Acids Research</i> 19:3979-3986 (1991)

Examiner:

mmannich

Date Considered:

6/13/03

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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mm	AG-1	Cullen B. R., "Connections Between the Processing and Nuclear Export of mRNA: Evidence for an Export License," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>97</u> (1):4-6 (1999)
	AH-1	Foecking and Hofstetter, "Powerful and Versatile Enhancer-Promoter Unit for Mammalian Expression Vectors," <i>Gene</i> <u>45</u> :101-105 (1986)
	AI-1	Gorewit et al., "Growth Hormone Gene Expression in Myoepithelial Cells Directed by Various Eucaryotic Transcriptional Regulatory Sequences," <i>FEB</i> <u>225</u> (1,2):238-242 (1987)
	AJ-1	Luo et al., "Splicing is Required for Rapid and Efficient mRNA Export in Metazoans," <i>Proc. Natl. Acad. Sci. U.S.A.</i> <u>96</u> (26):14937-14942 (1999)
	AK-1	Mosca et al., "Novel Induction by Herpes Simplex Virus of Hybrid Interferon Gene Transcripts Driven by the Strong Cytomegalovirus IE94 Promoter," <i>Journal of Virology</i> <u>61</u> (3):819-828 (1987)
	AL-1	Nelson et al., "Negative and Positive Regulation by a Short Segment in the 5'-Flanking Region of the Human Cytomegalovirus Major Immediate-Early Gene," <i>Molecular and Cellular Biology</i> <u>7</u> (11):4125-4129 (1997)
	AM-1	Pasleau et al., "Growth Hormone Gene-Expression in Eukaryotic Cells Directed by the Rous Sarcoma Virus Long Terminal Repeat or Cytomegalovirus Immediate-Early Promoter," <i>Gene</i> <u>38</u> :227-232 (1985)
	AN-1	Tevethia et al., "Participation of Two Human Cytomegalovirus Immediate Early Gene Regions in Transcriptional Activation of Adenovirus Promoters," <i>Virology</i> <u>161</u> :276-285 (1987)

Examiner:

Th. Morris Ch

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FORM PTO-14 (Modified)	U.S. Dept. of Commerce Patent and Trademark Office	Atty. Docket No.: 16095.002	Serial No.: 09/977,066
INFORMATION DISCLOSURE CITATION		Applicants: Thudium <u>et al.</u>	
(Use several sheets if necessary)		Filing Date: October 12, 2001	Group: 1635

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
mm	AA	6,251,872	06/26/01	Barbet <u>et al.</u>	514	44	10/17/97

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		Document Number	Publ. Date	Country	Class	Subclass	Trans- Yes	lation No
mm	BA	WO 96/32932	10/24/96	PCT				
I	BB	WO 00/41679	07/20/00	PCT				
	BC	WO 91/02607	01/11/01	PCT				

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OTHER CITATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

mm	CA	Montgomery <u>et al.</u> , "Heterologous and Homologous Protection Against Influenza A by DNA Vaccination: Optimization of DNA Vectors" <u>DNA and Cell Biology</u> 12(9):777-783, 1993
I	CB	Wagener <u>et al.</u> , "Induction of Antibodies Against SIV Antigens After Intramuscular Nucleic Acid Inoculation Using Complex Expression Constructs" <u>Journal of Biotechnology</u> 44:59-65, 1996
	CC	Murphey-Corb <u>et al.</u> , "Induction of Immunodeficiency Virus-Specific Immune Responses in Rhesus Monkeys Following Gene Gun-Mediated DNA Vaccination" <u>J. Med. Primatol.</u> 25:236-241, 1996
	CD	Norman <u>et al.</u> , "Development of Improved Vectors for DNA-Based Immunization and Other Gene Therapy Applications" <u>Vaccine</u> 15(8):801-803, 1997
	CE	Ramasamy <u>et al.</u> , "Mammalian Cell Expression of Malaria Merozoite Surface Proteins and Experimental DNA and RNA Immunisation" <u>Biochimica et Biophysica Acta</u> 1453:1-13, 1999
	CF	Little-van den Hurk <u>et al.</u> , "Intradermal Immunization with a Bovine Herpesvirus-1 DNA Vaccine Induces Protective Immunity in Cattle" <u>J. General Virology</u> 79:831-839, 1998
	CG	Little-van den Hurk <u>et al.</u> , "Immune Responses and Protection Induced by DNA Vaccines Encoding Bovine Parainfluenza Virus Type 3 Glycoproteins" <u>Virology</u> 260:35-46, 1999
	CH	Arulkathan <u>et al.</u> , "Biased Immunoglobulin G1 Isotype Responses Induced in Cattle with DNA Expressing <i>mspla</i> of <i>Anaplasma marginale</i> " <u>Infection and Immunity</u> 67(7):3481-3487, July, 1999
	CI	Xu <u>et al.</u> , "Optimization of Transcriptional Regulatory Elements for Constructing Plasmid Vectors" <u>Gene</u> 272:149-156, 2001
	CJ	Tonjes <u>et al.</u> , "Expression of Human Endogenous Retrovirus Type K Envelope Glycoprotein in Insect and Mammalian Cells" <u>J. Virology</u> 71(4):2747-2756, April, 1997
I	CK	Tripathy <u>et al.</u> , "Long-Term Expression of Erythropoietin in the Systemic Circulation of Mice After Intramuscular Injection of a Plasmid DNA Vector" <u>Proc. Natl. Acad. Sci. USA</u> 93:10876-10880, October, 1996
Examiner mm		Date Considered 6/13/03

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